



Appl'n Serial No. 09/716,189

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: MACPHERSON, James EXAMINER: GRAHAM, Clement B.

APPLN. NO.: 09/716,189 ART UNIT: 3696

FILED: November 17, 2000 Attorney Docket No. 309,396

FOR: SYSTEM AND METHODS FOR PROCESSING OPEN-END MUTUAL FUND
PURCHASE AND REDEMPTION ORDERS AT CENTRALIZED SECURITIES EXCHANGES

STATEMENT OF FILING BY EXPRESS MAIL UNDER 37 C.F.R. §1.10

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AMENDMENT UNDER 37 C.F.R. §1.111

Dated: May 26, 2009

Mailstop - Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the final Office Action dated January 28, 2009, please amend and reconsider the above-identified application as follows: